

10/588187

PATENT  
8007-1114

IN THE U.S. PATENT AND TRADEMARK OFFICE

AP 20 Rec'd PTO 02 AUG 2006

In re application of

Hiroki SATO et al

Conf.

Application No. **NEW NATIONAL PHASE**

Group

Filed August 2, 2006

Examiner

ALKOXIDE COMPOUND, MATERIAL FOR THIN FILM FORMATION, AND PROCESS  
FOR THIN FILM FORMATION

**INFORMATION DISCLOSURE STATEMENT**  
**(SUBMISSION CONCURRENT WITH THE**  
**FILING OF A NEW PATENT APPLICATION)**

Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

August 2, 2006

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, and in fulfillment of the duty of disclosure under 37 C.F.R. § 1.56, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

**I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION**

The patents, publications, or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

**II. COPIES**

- ☐ Copies of the U.S. patents or publications are not submitted since the USPTO has waived their submission for applications filed after June 30, 2003.
- ☐ Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- ☒ This application is a National Phase of a PCT application. Some or all of the documents listed on the PTO-1449 are not enclosed because they were cited in the International Search Report and copies should have been forwarded from the International Search Authority pursuant to the trilateral agreement between the USPTO, EPO and JPO, or they are U.S. patents or U.S. published applications. If copies are needed, please contact the undersigned.

III. CONCISE EXPLANATION OF THE RELEVANCE  
(check at least one box)

APR 20 Rec'd PCT/PTO 02 AUG 2006

a. ☐ **DOCUMENTS IN THE ENGLISH LANGUAGE**

The attached non U.S. patents, non U.S. patent application publications, foreign publications, or other information in the English language do not require a statement of relevancy.

b. ☐ **DOCUMENTS NOT IN THE ENGLISH LANGUAGE**

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

c. ☒ **FOREIGN SEARCH REPORT OR ACTION**

An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(A)(3).

d. ☐ **OTHER**

The following additional information is provided for the Examiner's consideration.

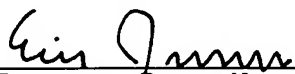
FEES

This Information Disclosure Statement is being filed concurrently with the filing of a new patent application; therefore, no fee is required.

If The Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned.

Respectfully submitted,

YOUNG & THOMPSON

  
Eric Jensen, Reg. No. 37,855  
745 South 23<sup>rd</sup> Street  
Arlington, VA 22202  
Telephone (703) 521-2297  
Telefax (703) 685-0573  
(703) 979-4709

EJ/ldw

Enclosures: ☒ Form PTO-1449(s)  
☒ Documents  
☒ Foreign Search Report  
☐ Other: \_\_\_\_\_

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

(Use several sheets if necessary)

Attorney Docket No.:

8007-1114

Application No.:

107588187

Applicant:

Hiroki SATO et al.

Filing Date:

August 2, 2006

Group Art Unit:

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing date (if appropriate)

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	MEHROTA, Ram C. et al., Aminoalkoxysilanes I. Amino derivatives of alkoxy-and alkylalkoxysilanes, Journal of Organometallic Chemistry, 1970, 24(3), 611-621
	ABBOTT, A. Doyle et al., Silicate esters and related compounds, Journal of Chemical and Engineering Data, 1961, 6, 437-442
	SONG, Moon-Kyun et al., Direct liquid injection metal-organic chemical vapor deposition of HfO <sub>2</sub> thin films using Hf (dimethylaminoethoxide) <sub>4</sub> , Thin Solid Films, 2004, 450(2), 272-275

EXAMINER:

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

\* English language abstract provided for the Examiner's convenience

ldw

Y&amp;T November 21, 2005